

Risk Register (Standard)

Username: smorrell

Report Reference: 24/7074

Date: 19 November 2008

Strategic Register from 1 Oct 2007 : .

Register Issue: 6 (Issued: 04/11/08)

Level: 3 Group: Mgmt Board

Owner: David Kennedy

Phase 1 Risk Identification					Phase 2 Current Assessment						Phase 3 Mitigation		Phase 4 Target						Phase 5 Fallback Plan				
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager	
						1	2	3	4	5					1	2	3	4	5				
001/03	Partnership	Loss of reputation with Government, Regional and Local Partners and	Management Board	David Kennedy	M	H					2	6	30/04/08	M	H						2		
002/03	Safer Greener	Inability to manage the effects of a major incident	Management Board	David Kennedy	L	H					3	3	31/07/08	L	H						3		
003/02	Quality Services	Loss of Public and Staff Confidence in the Council	Management Board	David Kennedy	M	H					2	6	30/04/08	M	H						2		
004/02	Quality Services	Failure to take opportunities provided by the growth agenda	Management Board	David Kennedy	M	H					2	3	30/04/08	M	H						2		
005/03	Safer Greener	Risk of a divided community	Management Board	David Kennedy	L	H					3	4	30/06/08	L	M						5		
006/03	Quality Services	Non compliance with key regulations and statutory requirements	Management Board	David Kennedy	M	H					2	4	30/06/08	M	H						2		

Impact Key: 1:Value



Risk Register (Standard)

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Report Reference: 24/7074

Date: 19 November 2008

Chief Executive : .

Register Issue: 8 (Issued: 04/11/08)

Level: 5 Group: Mgmt Board

Owner: David Kennedy

Phase 1 Risk Identification					Phase 2 Current Assessment					Phase 3 Mitigation		Phase 4 Target					Phase 5 Fallback Plan					
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager
						1	2	3	4	5					1	2	3	4	5			
001/02	Quality Services	Lack of clear priorities and alignment of resources to priorities	Chief Executive	David Kennedy	M	M					4	2	30/04/08	M	M					4		
002/02	Economic Develop	Inability of the Council to contribute to and influence the Growth Agenda.	Chief Executive	David Kennedy	M	H					2	3	31/07/08							6		
003/02	Partnership	Lack of engagement with strategic partners at the highest level	Chief Executive	David Kennedy	M	H					2	1								6		
004/02	Partnership	Breakdown of relationship with County Council	Chief Executive	David Kennedy	M	M					4	2	30/11/08							6		
005/03	Quality Services	Failure to deliver against Strategic Improvement Plan	Chief Executive	Dale Robertson	M	H					2	3	30/04/08							6		
006/07	Quality Services	Failure to engage effectively with customers	Chief Executive	David Kennedy	H	M					2	1	29/04/08							6		

Impact Key: 1:Value

Risk Register (Standard)

Username: smorrell

Report Reference: 24/7074

Date: 19 November 2008

Director of Planning and Regeneration : .

Register Issue: 9 (Issued: 04/11/08)

Level: 5 Group: Mgmt Board

Owner: David Bailey

Phase 1 Risk Identification					Phase 2 Current Assessment						Phase 3 Mitigation		Phase 4 Target						Phase 5 Fallback Plan				
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager	
						1	2	3	4	5					1	2	3	4	5				
001/02	Economic Develop	Inability to deliver LDF on time	Regeneration	Christopher Cavanagh	H	H					1	0		M	H						2		
002/02	Partnership	Effect of national, regional and local reorganisation proposals	People Planning and	David Bailey	L	M					5	1	01/12/08	L	M						5		
003/02	Economic Develop	Implications of growth, and plans to address them not co-ordinated	People Planning and	David Bailey	M	M					4	1	30/06/08	L	M						5		
004/04	Economic Develop	Failure to deliver Grosvenor/Greyfriars and other major	People Planning and	Christopher Cavanagh	M	H					2	2	30/06/08	L	H						3		
005/03	Quality Services	Lack of capacity in key areas / skills not aligned to key objectives	Human Resources	Catherine Wilson	H	H					1	1	30/05/08	H	H						1		
006/03	Quality Services	Possible Equal Pay Claims surrounding Pay and Grading Review	Human Resources	Catherine Wilson	M	M					4	1	30/06/08	M	L						5		
007/03	Quality Services	Poor implementation of the Pay and Grading Review results	Human Resources	Catherine Wilson	M	M					4	2	30/06/08								6		
008/03	Safer Greener	Failure to take account of Climate Change and Sustainability	People Planning and	Christine Stevenson	L	M					5	1	31/03/09	L	M						5		
009/05	Quality Services	Organisational changes undermining improvement progress	NBC	David Kennedy	H	H					1	1	29/06/08	H	H						1		

Impact Key: 1:Value

Risk Register (Standard)

Username: smorrell

Report Reference: 24/7074

Date: 19 November 2008

Director of Finance - Support : .

Register Issue: 10 (Issued: 04/11/08)

Level: 5 Group: Mgmt Board

Owner: Isabell Procter

Phase 1 Risk Identification					Phase 2 Current Assessment					Phase 3 Mitigation		Phase 4 Target					Phase 5 Fallback Plan					
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager
						1	2	3	4	5					1	2	3	4	5			
001/03	Quality Services	Unfavourable Comprehensive Spending Review 2007	Finance	Isabell Procter	H	L					4	0								6		
002/05	Economic Develop	Failure to resolve funding arrangements for key cultural facilities	Finance	Isabell Procter	L	M					5	0		L	M					5		
003/06	Quality Services	Significant affordability gap within the Council's financial projections	Finance	Isabell Procter	M	L					5	1	02/02/09	M	L					5		
004/08	Quality Services	Failure to take account of and plan for possible economic downturn	Finance	Gavin Chambers	L	VL					6	0		L	VL					6		

Impact Key: 1:Value

Risk Register (Standard)

Username: smorrell

Report Reference: 24/7074

Date: 19 November 2008

Director of Housing : .

Register Issue: 7 Draft (Amended: 05/11/08)

Level: 5 Group: Mgmt Board

Owner: Lesley Wearing

Phase 1 Risk Identification					Phase 2 Current Assessment					Phase 3 Mitigation		Phase 4 Target					Phase 5 Fallback Plan					
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager
						1	2	3	4	5					1	2	3	4	5			
001/02	Improve Housing	Failure to achieve Decent Homes Standard by required date	Streetscene	Fran Rodgers	H	L					4	2	31/12/08							6		
002/01a	Safer Greener	Inability to cope with the effects of Terrorism	Customer/Service Del	Thomas Hall	VL	VH					5	2	30/09/08	VL	H					5		
003/02	Safer Greener	Lack of effective multi agency working with Police, Health	Customer/Service Del	Thomas Hall	M	M					4	4	31/12/08							6		
004/02	Quality Services	Lack of Customer Focus	Customer/Service Del	Cheryl Doran	M	H					2	1	31/12/08	M	H					2		
005/02	Quality Services	Lack of capacity and appropriate skill levels	Customer/Service Del	Lesley Wearing	H	H					1	4	30/09/08							6		
006/05	Quality Services	Growth and the impact on services	Customer/Service Del	Lesley Wearing	H	H					1	3	31/10/08							6		
007/01		Staff resistance to change	Housing Services	Lesley Wearing	M	H					2	1	31/10/08							6		
008/01		Failure to accelerate performance improvement in key business areas	Housing Services	Lesley Wearing	H	H					1	1	31/03/09							6		
009/01		Failure to maximise opportunities from government initiatives/funding	Housing Services	Lesley Wearing	L	M					5	1	31/03/09							6		
010/01		Failure to influence wider expansion growth and regeneration.	Housing Services	Lesley Wearing	H	VH					1	1	31/03/09							6		
011/01		Delays in delivering Housing Services restructure	Housing Services	Lesley Wearing	M	VH					1	1	30/10/08							6		
012/01		Assault on staff at potentially volatile locations	Housing Services	Lesley Wearing	L	L					5	1	20/11/08							6		
013/01		Lack of a robust framework for setting and monitoring HRA budgets	Housing Services	Lesley Wearing	M	H					2	1	03/03/09							6		
014/01		Failure to maximise use of IT	Housing Services	Lesley Wearing	H	VH					1	1	31/03/09							6		

Impact Key: 1:Value



Risk Register (Standard)

Username: smorrell

Report Reference: 24/7074
Date: 19 November 2008

Solicitor to the Council : Default

Register Issue: 9 Draft (Amended: 05/11/08)

Level: 11 Group: Legal - Democratic Owner: Francis Fernandes

Phase 1 Risk Identification					Phase 2 Current Assessment						Phase 3 Mitigation		Phase 4 Target					Phase 5 Fallback Plan				
Risk No	Risk Group	Risk Title Or Description	Risk Owner	Risk Manager	Prob	Impacts					Cat	No of RRs	Next Action Date	Prob	Impacts					Cat	Fall-back Plan	Action Manager
						1	2	3	4	5					1	2	3	4	5			
001/01		Non compliance with governance procedures	Governance Res Comms	Francis Fernandes	H	VH					1	1								6		
002/03		Candidates and agents lack of knowledge re the election /	Electoral Services	Francis Fernandes	M	H					2	0								6		
003/07		Risk that a quorum for licensing sub-committee is not met	Licensing	Francis Fernandes	M	M					4	0								6		
004/01b		Failure to fully implement Local Filter		David Bibey	L	H					3	1	30/11/08							6		
005/01b		Failure to effectively operate the Local Filter		David Bibey	L	H					3	1	30/11/08							6		

Impact Key: 1:Value 2:Service Quality